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L2	6631	S	L1	AND	GL	ASSES	
L3	1062	S	L2	AND	SY	NTHESIS	
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L1 76425 SOL(W) GEL

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=> s L3 and alkoxysilanes

L4 26 L3 AND ALKOXYSILANES

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23 L4 AND METAL

=> s L5 and organic

22 L5 AND ORGANIC

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ANSWER 8 OF 22 USPATFULL
L6
       1998:128118 USPATFULL
AN
       Doped sol-gel glasses for obtaining
ΤI
       chemical interactions
       Avnir, David, Jerusalem, Israel
IN
       Ottolenghi, Michael, Jerusalem, Israel
       Braun, Sergei, Jerusalem, Israel
       Zusman, Rivka, Jerusalem, Israel
       YISSUM, Research Development Company of the Hebrew University of
PA
       Jerusalem, Israel, Jerusalem, Israel (non-U.S. corporation)
                               19981020
       US 5824526
PΙ
                               19960621 (8)
       US 1996-667746
ΑI
       Continuation of Ser. No. US 1994-266441, filed on 28 Jun 1994, now
RLI
       patented, Pat. No. US 5650311 which is a continuation of Ser. No. US
       1992-937259, filed on 31 Aug 1992, now abandoned which is a division of
       Ser. No. US 1991-637873, filed on 8 Jan 1991, now patented, Pat. No. US
       5300564
       IL 1990-93134
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PRAI
       Utility
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       Granted
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LN.CNT 629
       INCLM: 435/176.000
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       INCLS: 252/315.600; 252/408.100; 424/484.000; 428/402.240; 436/527.000;
              501/012.000; 514/944.000; 514/965.000; 530/811.000
NCL
       NCLM:
              435/176.000
              252/408.100; 424/484.000; 428/402.240; 436/527.000; 501/012.000;
              514/944.000; 514/965.000; 516/111.000; 530/811.000
IC
       [6]
       ICM: G01N001-00
       ICS: A61K009-50; B01J013-18
       252/183.13; 252/184; 252/315.6; 252/408.1; 428/402.24; 501/12; 065/21.1;
EXF
       436/169; 436/527; 210/498; 210/500.26; 422/238; 422/239; 435/176;
       424/484; 514/944; 514/965; 530/811
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
       Doped sol-gel glasses for obtaining
       chemical interactions
       A sol-gel glass doped with one or more reagent that
AΒ
       provides chemical interactions with diffusible solutes or components in
       an adjacent liquid or gas phase. The reagent(s), the solutes or the
       components can be any organic or inorganic compounds or
       materials of biological origin, including enzymes. The doped sol
       -gel glass in various forms is useful as an analytical test,
       chromatographic medium, sensor, catalyst or biocatalyst, electrode or
       enzyme electrode,. . .
        . . solid support and diffusible solutes or components in an
SUMM
       adjacent liquid or gas phase, wherein said reagent/s are trapped in
       sol-gel glass (hereinafter also referred to as doped
       sol-gel glass) which provides the solid support to the
       reagent/s.
       The method according to the present invention can be applied to a
SUMM
       variety of interactions between the doped sol qel
       glasses and reagent/s in an adjacent liquid or gas phase. The
       present invention can be useful in a myriad of applications:.
       useful for detection of ions by chemical interaction between the ion/s
       in an aqueous phase and reagents trapped in the "sol-
       gel" glass, or vice versa, via characteristic "color test"
       reactions, or other routine detection methods. Another example is
       utilization of the.
       The method can be applied as well for medical diagnostic purposes e.g.
SUMM
       for detecting inorganic ions and/or small organic molecules in
       blood, urine and other body liquids. Another example, according to the
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-gel" glass.
      For centuries, inorganic glasses have been prepared by high
SUMM
      temperature melting methods. This has imposed a major limitation upon
       the technological application of glasses: additives were
      restricted to thermally stable inorganic materials, while precluding the
       incorporation of labile organic molecules.
      A recent major development in material science has been the preparation
SUMM
      of inorganic (silica) glasses through the low temperature "
       sol-gel" synthesis (Brinker, C. J., Scherer,
       G. W., Sol-Gel Science, Academic Press, San Diego
       (1990)). An amorphous bond network of the glassy material is prepared by
       the room-temperature polymerization of suitable monomers, usually
       metal alkoxides, according to schemes such as:
       By sol-gel glass one also means the product obtained
SUMM
       by a polymerization of metal alkoxide mixtures which bear both
       hydrolyzable and nonhydrolyzable substituents.
       The low-temperature glass synthesis allows doping inorganic
SUMM
       (silica or other) glasses, with essentially any
       organic molecule. This possibility was used for trapping of e.g.
       photoactive molecules by adding the compound to the starting mixture at.
       . . R., J. Phys. Chem. 88, 5956 (1984)). The compound remained
       permanently trapped, i.e. non-leachable system have been obtained. These
       doped sol-gel glasses have been used as
       photoactive materials, such as:
       (d) Photochromic and phosphorescent glasses.
SUMM
       . . . such parameters as porosity, water content and degree of (cage)
SUMM
       polarity (Kaufman, V. R., Avnir, D., Structural changes Along the
       Sol-Gel-Xerogel Transitions, Langmuir 2, 717 (1986);
       Kaufman, V. R., Avnir, D., Pines-Rojanski, D., Huppert, D., Water
       Consumption During the Early Stages of the Sol-Gel
       Polymerization, J. Non-Cryst. Solids 99, 379 (1988)).
       Sol-gel glasses demonstrate several
SUMM
       technologically attractive properties:
       Surprisingly, it was found that molecules trapped in sol
SUMM
       gel glasses, may interact with diffusible solutes or
       components in an adjacent liquid or gas phase in the pore space. Said
        finding opened a new wide range of applications of doped sol-
       gel glasses as solid media for chemical interactions.
        . . . support and diffusible solute/s or component/s in an adjacent
SUMM
        liquid or gas phase, wherein said reagent/s are trapped in the
        sol-gel glass which serves as the solid support. Said
        reagent/s can be any organic compound, organometallic, or
        inorganic compound, or any biological material capable of being trapped
        in the sol-gel glass.
        The diffusible solute/s or components can be any organic
 SUMM
        compound, stable organic radical, organometallic, or inorganic
        compound or biological material capable to interact with the trapped
        reagents.
         . . present invention can take place between anions or cations in a
 SUMM
        liquid or gas phase and reagents trapped in the sol
        gel glass or vice versa. For example the interaction may take
        place between metal ions and a specific reagents via a
        characteristic colour-test reaction, as in: (1) the determination of
        Fe.sup.+2 cation with o-phenanthrolin,. . . is one of many examples
        for a pH sensors. The analytical test can be carried out by dipping the
        doped sol gel glass in the solution and observing
        the resulting color change.
        The sol gel glass according to the present invention
 SUMM
        can be in any shape suitable for the test. For example it can have.
           inert solid support. Thus, an electrochemical test according to said
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present invention, is a chemical interaction between a substrate/antigen

in the liquid phase and an enzyme/antibody trapped in the "sol

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invention can be performed by preparing electrodes coated with doped
      sol gel glass layers. These electrodes may be used for
      clinical, analytical or industrial purpose, or as biosensors.
       . . . quantitative analysis of pollutants. Said pollutants may be for
SUMM
       example chlorides, nitrates, phosphates, herbicides, insecticides,
       inorganic ions and pollutants of organic origin. Detection
       devices according to this invention can be utilized as a part of
       continuous monitoring systems.
       The present invention can be utilized for extracting or separating
SUMM
       molecular solutes from liquid solutions. The doped sol
       gel glasses can be used according to the present
       invention for all chromatographic purposes, including liquid, gas and
       thin layer chromatography. The extraction or separation is performed by
       passing the solution through columns made from appropriately doped
       sol gel material. The thin layer chromatography
       according to this invention can be performed on conventional glass
       plates, paper or other inert solid support coated with doped sol
       -gel glass layers.
       Medical diagnostic is another application of the present invention. For
SUMM
       example, detection of inorganic ions, small organic molecules
       and other components in blood, urine and other body liquids. The
       invention can be applied also to the fractionation. . .
       . . . invention relates, as well, to a method for preparation of
SUMM
       bioactive materials (biocatalysts) by entrapment of enzymes in a forming
       sol-gel glass, which, following polycondensation of
       suitable monomers, serves as a solid matrix, bonding the enzyme and
       conveying to it mechanical,. . .
       . . . according to the present invention, can be applied to a variety
SUMM
       of enzymes or enzyme systems, including co-immobilization of co-factors,
       organic and inorganic ligands, mono- and polyclonal antibodies,
       and their detection systems.
        . . . the present invention can be useful in a variety of
SUMM
       applications, such as: (a) biochemical reactions and other
       bioconversions in organic and inorganic solvent solutions, (b)
       detection or qualitative determination of organic and
       inorganic molecules, which are substrates of the immobilized enzymes, or
        inhibitors, or modifiers of enzyme activity, (c) construction of. . .
        Several properties of the sol-gel glasses
 SUMM
       make them especially attractive as possible enzyme catalyst supports:
        (a) the ability to entrap large amounts of additives; (b) the. . .
        . . . also to a method for obtaining bioactive materials based on an
 SUMM
        enzyme molecules trapped within the porous structure of a sol-
        gel glass. The entrapment is achieved by the addition of a
        cell-free enzyme to a mixture of monomer or monomers at. .
        Unexpectedly, we have found (1) that proteins can be trapped within the
 SUMM
        matrix of a forming sol-gel, (2) that several
        cell-free enzymes, belonging to various classes: hydrolases,
        oxidoreductases, lyases etc., can be effectively entrapped in such
        composite bioactive sol-gel glasses, while
        retaining high enzymatic activity, (3) that strong binding forces retain
        the enzyme in the matrix, thus producing a considerable. .
        The sol-gel immobilized enzymes may be used as
 SUMM
        biosensors for hormonal tests or for any industrial purposes, including
        diagnostic and synthetic purposes. Said enzymes can be doped in
        sol gel glass layers coated on electrodes for probing
        any substrate. The enzymatic interaction according to the present
        invention can be applied also to radioactive tests and also for
        enzymatic column chromatography (crushed powder sol
        gel glasses may be used as support for enzymatic
        column chromatography).
        The sol gel glass can be applied, according to the
 SUMM
        present invention, as active specific membranes allowing selective
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incorporation of the trapped molecules.

The present invention relates also to the application of doped SUMM sol gel glasses according to this invention as well as for the preparation of sol gel glasses and doped sol gel glasses for such applications.

When prepared as thin film the width of the sol gel SUMM glass may be from molecular monolayers up to macroscopic layers. Said thin film can be part of multi-layered array of thin films. Said glasses may be supported on an electrode or optical support.

The unique transparency of "sol gel" glasses SUMM in the range above 250 nm, makes them highly applicable to quantitative spectrophotometric and spectrofluorimetric tests. Trapping of host molecules. . . require specific synthetic methods such as those associated with covalent linking of reagents to solid supports. Moreover, inherent properties of sol gel glasses such as high surface area, the wide range of available

pore sizes and the thin film technology, make them highly. The FIGURE drawing shows various glasses with a reagent

DRWD trapped therein, both before and after reaction with a component in the liquid phase.

A. Preparation of doped "sol-gel" glasses DETD

The polycondensation of alkoxysilanes is associated with DETD gelation of the sol, which after drying is densified by a mild heat treatment to form a. . . final glass are determined by the chemical and physical conditions during the process of preparation. They depend upon the ratio metal (e.g. silane)/alcohol/water, the alkoxide pH, the presence of a catalyst, the temperature, the drying time and the amounts of organic additives, such as surface active agents.

1. A standard mixture for preparation of doped "sol-DETD gel" glasses contained TMOS (5 ml) H.sub.2 O (2.4 ml) and methanol (6. ml). The appropriate catalyst and the desired reagent were.

2. An alternative technique of preparing sol-gel DETD glasses is based on thin-layer coating of conventional glass supports. A characteristic procedure for the preparation of such thin layers began. .

B. Representative examples of reactivity of reagents trapped in DETD sol-gel glasses

. . . to the same glass after immersion in the tested solution. The DETD doped glasse.ltoreq.represent four classes of reactions: (a) a glass-trapped organic reagent with an inorganic cation to be determined in the solution; (b) same with inorganic anions; (c) a glass doped with an inorganic ion, testing a solution containing an organic molecule (reversal of a & b); (d) glass doped with a pH indicator.

Middle: Doped glasses (top) and some glasses after DETD immersion in solutions containing several ions:

1. Preparation of sol-gel immobilized enzymes. DETD

All the liquid remaining on the top of sol-gel was DETD then removed by suction. In methanol-containing mixtures gelation took place in about 4-5 h. The polymerized sol was allowed.

2. Retention of protein by the sol-gel glass. DETD

All the glasses prepared according to example C1 were ground DETD to a size of about 60-100 mesh and packed in 2 ml-columns. The.

3. Entrapment of trypsin in sol-gel glasses DETD

Trypsin (E.C. 3.4.21.4, from bovine pancreas, 11,000 U/mg) was supplied DETD by RAD Chemicals, Rehovot, Israel. Trypsin entrapped in solgel was prepared as described in example C1. Assays were performed on the washed glasses at 25.degree. C. at pH 8 using n-benzoyl-L-arginine-4-nitroanilide (3.3 mM) as the substrate. The

concentration of NaF in the enzyme. 4. Entrapment of acid phosphatase in sol-gel DETD glasses. Acid phosphatase (E.C. 3.1.3.2, from wheat germ, 0.45 U/mg) was DETD purchased from Sigma. The acid phosphatase-containing solqel glasses were prepared as described in the example C1. The assays were performed on the washed glasses at 25.degree. C. at pH 5.6 using p-nitrophenyl phosphate (6 mM) as the substrate. The activity yield, calculated in percents of enzyme activity used initially for the preparation of glasses, is shown in the following Table: 5. Thermal stability of immobilized acid phosphatase in different DETD sol-gel glasses. The acid phosphatase-containing sol-gel DETD glasses (example C4) were incubated at 70.degree. C. in citrate buffer (pH 5.6, 0.1M) for various periods of time (up to. . . DETD 6. Entrapment of peroxidase in sol-gel . . . 200 U/mg) was obtained from Sigma. Sol-gels doped with DETD peroxidase were prepared as shown in the example C1. All the glasses prepared with the addition of PEG 400 were active, . . of although it was not possible to determine the extent of their. the assay mixture indicated improved activity yields at higher concentrations of PEG 400. In contrast to trypsin and catalase, sol-gel glasses made at elevated concentrations of NaF were more active. Glasses prepared in methanol-containing mixtures were devoid of peroxidase activity. 7. Entrapment of trypsin in sol-gel glasses DETD . . . to reach the room temperature. The polymerized sol was allowed DETD to dry for a week at 30.degree. C. The resulting glasses were treated as described (example C2). Trypsin activity of the trypsin-doped sol-gel glasses expressed as the yield of activity used for the preparation of the catalyst is presented in the following Table. 8. Entrapment of aspartase in sol-gel DETD glasses. . . . disrupted by sonication. The homogenate was cleared by DETD centrifugation (10,000.times.g, 30 min, 4.degree. C.) and used for the preparation of sol-gel glasses. The homogenate (0.5 ml) was mixed with NaF solution (0.2 ml) at the concentrations indicated in the Table below. Methanol. . . 9. Preparation of protein-doped glasses by NaOH catalyzed DETD polycondensation. Immobilization of alkaline phosphatase. . . . pathological conditions, and may thus be of significant DETD prognostic value. We have used an Anti-IL-2R monoclonal antibody, trapped in a sol-gel glass, to determine IL-2R, using a sandwich immuno assay test (cell-free Interleukin-2 Receptor CK 1020, 96 Test kit, T Cell Sciences, Inc., Cambridge, Mass., USA). Trapping of Anti-IL-2R monoclonal antibody in a "solgel" glass was carried out with a starting solution composed of methanol (3 ml), TMOS (2.5 ml), 6 mM phosphate buffered. What is claimed is: CLM1. A reactive sol-gel comprising a porous solid gel containing a chemically reactive cell-free dopant of biological origin trapped therein and formed by non-denaturing polymerization of at least one monomer of the formula M(R).sub.n (P).sub.m and selected from the group consisting of metal alkoxides, semi-metal alkoxides, metal esters and semi-metal esters, wherein M is a metallic or semi-metallic element, R is a hydrolyzable substituent, n is an integer of 2. 2. A reactive sol-gel as defined by claim 1, wherein

the porous solid gel is in the form of a rod, a disc, a. 3. A reactive sol-gel as defined by claim 2, wherein the porous solid gel is a thin film in the form of a molecular. 4. A reactive sol-gel as defined by claim 2, wherein the porous solid gel is a thin film in the form of a macroscopic. . . 5. A reactive sol-gel as defined by claim 2, wherein the porous solid gel is a thin film and is supported on a solid. . . 6. A reactive sol-gel as defined by claim 1, wherein the porous gel is in the form of thin sieves. 7. A reactive sol-gel as defined by claim 1, wherein at least two dopants are trapped in the porous solid gel and wherein 8. A reactive sol-gel as defined by claim 1, wherein the distribution of dopant in the gel is nonhomogeneous and follows a predetermined gradient. 9. A reactive sol-gel as defined by claim 1, wherein the cell-free dopant of biological origin is a protein. 10. A reactive sol-gel as defined by claim 9, wherein the cell-free dopant is selected from the group consisting of enzymes, monoclonal antibodies and. . . 11. A reactive sol-gel as defined by claim 10, wherein the cell-free dopant is an enzyme. ANSWER 12 OF 22 USPATFULL 97:63914 USPATFULL Doped sol-gel glasses for obtaining chemical interactions Avnir, David, Jerusalem, Israel Ottolenghi, Michael, Jerusalem, Israel Braun, Sergei, Jerusalem, Israel Zusman, Rivka, Jerusalem, Israel Yissum, Research Development Company of the Hebrew University of Jerusalem, Israel, Jerusalem, Israel (non-U.S. corporation) 19970722 US 5650311 US 1994-266441 19940628 (8) Continuation of Ser. No. US 1992-937259, filed on 31 Aug 1992, now abandoned which is a division of Ser. No. US 1991-637873, filed on 8 Jan 1991, now patented, Pat. No. US 5300564 IL 1990-93134 19900123 Utility Granted LN.CNT 614 INCLM: 435/176.000 INCLS: 252/315.600; 252/408.100; 424/484.000; 428/402.240; 436/527.000; 501/012.000; 514/944.000; 514/965.000; 530/811.000 435/176.000 NCLM: 252/408.100; 424/484.000; 428/402.240; 436/527.000; 501/012.000; NCLS: 514/944.000; 514/965.000; 516/098.000; 516/111.000; 516/112.000; 530/811.000 [6] ICM: G01N001-00 ICS: A61K009-50; B01J013-18 252/183.13; 252/184; 252/315.6; 252/408.1; 428/402.24; 501/12; 065/21.1; 436/169; 436/527; 210/498; 210/500; 210/26; 422/238; 422/239; 435/176; 424/484; 514/944; 514/965; 530/811

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CAS INDEXING IS AVAILABLE FOR THIS PATENT.

chemical interactions

Doped sol-gel glasses for obtaining

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A metod is proposed of obtaining a chemical interaction between at least AB one reagent trapped in sol-gel glass by doping it with the reagent(s), and diffusible solutes or components in an adjacent liquid or gas phase. The reagents, the solutes or the components can be any organic or inorganic compounds or materials of biological origins including enzymes. The doped sol-gel glass in various forms may be useful as analytical test, chromatographic medium, sensor, catalyst or biocatalyst, electrode or enzyme electrode,.

. . . solid support and diffusible solutes or components in an SUMM adjacent liquid or gas phase, wherein said reagent/s are trapped in sol-gel glass (hereinafter also referred to as doped sol-gel glass) which provides the solid support to the reagent.

The method according to the present invention can be applied to a SUMM variety of interactions between the doped sol gel glasses and reagent in an adjacent liquid or gas phase. The present invention can be useful in a myriad of applications:. useful for detection of ions by chemical interaction between the ions in an aqueous phase and reagents trapped in the "sol-gel " glass, or vice versa, via characteristic "color test" reactions, or other routine detection methods. Another example is utilization of the.

The method can be applied as well for medical diagnostic purposes e.g. SUMM for detecting inorganic ions or small organic molecules in blood, urine and other body liquids. Another example, according to the present invention, is a chemical interaction between a substrate or antigen in the liquid phase and an enzyme or antibody trapped in the " sol-gel" glass.

For centuries, inorganic glasses have been prepared by high SUMM temperature melting methods. This has imposed a major limitation upon the technological application of glasses: additives were restricted to thermally stable inorganic materials, while precluding the incorporation of labile organic molecules.

A recent major development in material science has been the preparation SUMM of inorganic (silica) glasses through the low temperature " sol-gel" synthesis (as disclosed by Brinker, C. J., Scherer, G. W., Sol-Gel Science, Academic Press, San Diego (1990). An amorphous bond network of the glassy material is prepared by the room-temperature polymerization of suitable monomers, usually metal alkoxides, according to schemes such as:

By sol-gel glass one also means the product obtained SUMM by a polymerization of metal alkoxide mixtures which bear both hydrolyzable and nonhydrolyzable substituents. The monomers may also comprise metal esters, semi-metal esters, or semimetal alkoxides, with preferred metals or semi-metals comprising Si, Al, Ti or Pb.

The low-temperature glass synthesis allows doping of inorganic SUMM (silica or other) glasses, with essentially any ${f organic}$ molecule. This possibility was used for trapping of photoactive molecules by adding the compound to the starting mixture at . . R., J. Phys. Chem. 88, 5956 (1984)). The compound remained permanently trapped, i.e. non-leachable system have been obtained. These doped sol-gel glasses have been used as photoactive materials, such as:

(d) Photochromic and phosphorescent glasses.

SUMM . . such parameters as porosity, water content and degree of (cage) SUMM polarity (Kaufman, V. R., Avnir, D., Structural changes Along the Sol-Gel-Xerogel Transitions, Langmuir 2, 717 (1986); Kaufman, V. R., Avnir, D., Pines-Rojanski, D., Huppert, D., Water Consumption During the Early Stages of the Sol-Gel

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Polymerization, J. Non-Cryst. Solids 99, 379 (1988)).
       Sol-gel glasses demonstrate several
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       technologically attractive properties:
       Surprisingly, it was found that molecules trapped in sol
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       gel glasses, may interact with diffusible solutes or
       components in an adjacent liquid or gas phase in the pore space. This
       finding opened a new wide range of applications of doped sol-
       gel glasses as solid media for chemical interactions.
       . . . least one diffusible solute or component in an adjacent liquid
SUMM
       or gas phase, wherein the reagent is trapped in the sol-
       gel glass which serves as the solid support. The reagent can be
       any organic organometallic, or inorganic compound, or any
       biological material capable of being trapped in the sol-
       gel glass.
       The diffusible solute or components can be any organic
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       compound, stable organic radical, organometallic compound, or
       inorganic compound or biological material capable to interact with the
       trapped reagents.
       . . . present invention can take place between anions or cations in a
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       liquid or gas phase and reagents trapped in the sol
       gel glass or vice versa. For example the interaction may take
       place between metal ions and a specific reagent via a
       characteristic colour-test reaction, as in: (1) the determination of
       Fe.sup.+2 cation with o-phenanthrolin,. . . is one of many examples
       for a pH sensors. The analytical test can be carried out by dipping the
       doped sol gel glass in the solution and observing
       the resulting color change.
       The sol gel glass according to the present invention
SUMM
       can be in any shape suitable for the test. For example it can have.
       . inert solid support. Thus, an electrochemical test according to the
       invention can be performed by preparing electrodes coated with doped
       sol gel glass layers. These electrodes may be used for
       clinical, analytical or industrial purpose, or as biosensors.
        . . . quantitative analysis of pollutants. The pollutants may be for
 SUMM
       example chlorides, nitrates, phosphates, herbicides, insecticides,
       inorganic ions and pollutants of organic origin. Detection
        devices according to this invention can be utilized as part of
        continuous monitoring systems.
       The present invention can be utilized for extracting or separating
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        molecular solutes from liquid solutions. The doped sol
        gel glasses can be used according to the present
        invention for all chromatographic purposes, including liquid, gas and
        thin layer chromatography. The extraction or separation is performed by
        passing the solution through columns made from appropriately doped
        sol gel material. The thin layer chromatography
        according to this invention can be performed on conventional glass
        plates, paper or other inert solid support coated with doped sol
        -gel glass layers.
        Medical diagnostics is another application of the present invention. For
 SUMM
        example, detection of inorganic ions, small organic molecules
        and other components in blood, urine and other body liquids can be made.
        The invention can be applied also. . .
           . . present invention relates, as well, to a method for preparation
 SUMM
        of bioactive materials (biocatalysts) by entrapment of enzymes in
        forming sol-gel glass, which, following
        polycondensation of suitable monomers, serves as a solid matrix, bonding
        the enzyme and conveying to it mechanical, . . .
        . . . according to the present invention, can be applied to a variety
 SUMM
        of enzymes or enzyme systems, including co-immobilization of co-factors,
        organic and inorganic ligands, mono- and polyclonal antibodies,
        and their detection systems.
        . . . the present invention can be useful in a variety of
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SUMM

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applications, such as: (a) biochemical reactions and other
      bioconversions in organic and inorganic solvent solutions, (b)
      detection or qualitative determination of organic and
      inorganic molecules, which are substrates of the immobilized enzymes, or
      inhibitors, or modifiers of enzyme activity, (c) construction of.
      Several properties of the sol-gel glasses
SUMM
      make them especially attractive as possible enzyme catalyst supports:
       (a) the ability to entrap large amounts of additives; (b) the.
       . . . therefore also to a method for obtaining bioactive materials
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      based on enzyme molecules trapped within the porous structure of a
      sol-gel glass. The entrapment is achieved by the
      addition of a cell-free enzyme to a mixture of monomer or monomers at.
      Unexpectedly, we have found (1) that proteins can be trapped within the
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      matrix of a forming sol-gel, (2) that several
       cell-free enzymes, belonging to various classes: hydrolases,
       oxidoreductases, lyases and the like, can be effectively entrapped in
       such composite bioactive sol-gel glasses,
       while retaining high enzymatic activity, and (3) that strong binding
       forces retain the enzyme in the matrix, thus producing a.
       The sol-gel immobilized enzymes may be used as
SUMM
       biosensors for hormonal tests or for any industrial purposes, including
       diagnostic and synthetic purposes. Said enzymes can be doped in
       sol gel glass layers coated on electrodes for probing
       any substrate. The enzymatic interaction according to the present
       invention can be applied also to radioactive tests and also for
       enzymatic column chromatography (crushed powder sol
       gel glasses may be used as support for enzymatic
       column chromatography).
       The sol gel glass can be applied, according to the
SUMM
       present invention, as active specific membranes allowing selective
       incorporation of the trapped molecules. . .
       The present invention relates also to the application of doped
SUMM
       sol gel glasses according to this invention
       as well as for the preparation of sol gel
       glasses and doped sol gel glasses
       for such applications.
       When prepared as a thin film, the width of the sol gel
SUMM
       glass may be from molecular monolayers up to macroscopic layers. The
       thin film can be part of a multi-layered array of thin films. The
       glasses may be supported on an electrode or optical support.
       The unique transparency of "sol gel" glasses
SUMM
       in the range above 250 nm, makes them highly applicable to quantitative
       spectrophotometric and spectrofluorimetric tests. Trapping of host
       molecules. . . require specific synthetic methods such as those
       associated with covalent linking of reagents to solid supports.
       Moreover, inherent properties of sol gel
       glasses such as high surface area, the wide range of available
       pore sizes and the thin film technology, make them highly.
       The figure drawing shows various sol-gel
DRWD
       glasses with a reagent trapped therein, both before and after
       reaction with a component in a liquid phase.
       A. Preparation of doped "sol-gel" glasses
DETD
       The polycondensation of alkoxysilanes associated with gelation
DETD
       of the sol, which after drying is densified by a mild heat treatment to
       form a glass.. . . final glass are determined by the chemical and
       physical conditions during the process of preparation. They depend upon
       the ratio metal (e.g. silane)/alcohol/water, the alkoxide pH,
       the presence of a catalyst, the temperature, the drying time and the
       amounts of organic additives, such as surface active agents.
       1. A standard mixture for preparation of doped "sol-
DETD
       gel" glasses contained TMOS (5 ml) H.sub.2 O (2.4 ml)
```

and methanol (6. ml). The appropriate catalyst and the desired reagent were. . . 2. An alternative technique of preparing sol-gel DETD glasses is based on thin-layer coating of conventional glass supports. A characteristic procedure for the preparation of such thin layers began. B. Representative examples of reactivity of reagents trapped in DETD sol-gel glasses . . 1, arrows denote transitions from the reagent-doped glass to DETD the same glass after immersion in the tested solution. The doped glasses represent four classes of reactions: (a) a glass-trapped organic reagent with an inorganic cation to be determined in the solution; (b) same with inorganic anions; (c) a glass doped with an inorganic ion, testing a solution containing an organic molecule (reversal of a & b); (d) glass doped with a pH indicator. Middle: Doped glasses (top) and some glasses after DETD immersion in solutions containing several ions: 1. Preparation of sol-gel immobilized enzymes. DETD . . . samples containing PEG 400, the polymerization was completed in DETD about 3 h. All the liquid remaining on the top of solgel was then removed by suction. In methanol-containing mixtures gelation took place in about 4-5 h. The polymerized sol was allowed. . 2. Retention of protein by the sol-gel glass. DETD All the glasses prepared according ko example C1 were ground DETD to a size of about 60-100 mesh and packed in 2 ml-columns. The. . 3. Entrapment of trypsin in sol-gel glasses DETD Trypsin (E.C. 3.4.21.4, from bovine pancreas, 11,000 U/mg) was supplied DETD by RAD Chemicals, Rehovot, Israel. Trypsin entrapped in solgel was prepared as described in example C1. Assays were performed on the washed glasses at 25.degree. C. at pH 8 using N-benzoyl-L-arginine-4-nitroanilide (3.3 mM) as the substrate. The concentration of NaF in the enzyme. 4. Entrapment of acid phosphatase in sol-gel DETD glasses. Acid phosphatase (E.C. 3.1.3.2, from wheat germ, 0.45 U/mg) was DETD purchased from Sigma. The acid phosphatase-containing solgel glasses were prepared as described in the example C1. The assays were performed on the washed glasses at 25.degree. C. at pH 5.6 using p-nitrophenyl phosphate (6 mM) as the substrate. The activity yield, calculated percents of enzyme activity used initially for the preparation of glasses, is shown in the following Table: 5. Thermal stability of immobilized acid phosphatase in different

DETD sol-gel glasses.

The acid phosphatase-containing sol-gel DETD glasses (example C4) were incubated at 70.degree. C. in citrate buffer (pH 5.6, 0.1M) for various periods of time (up to.

6. Entrapment of peroxidase in sol-gel DETD

. . . 200 U/mg) was obtained from Sigma. Sol-gels doped with DETD peroxidase were prepared as shown in the example C1. All the glasses prepared with the addition of PEG 400 were active, although it was not possible to determine the extent of their. . . of the assay mixture indicated improved activity yields at higher concentrations of PEG 400. In contrast to trypsin and catalase, sol-gel glasses made at elevated concentrations of NaF were more active. Glasses prepared in methanol-containing mixtures were devoid of peroxidase activity.

7. Entrapment of trypsin in sol-gel glasses DETD

- DETD . . . to reach the room temperature. The polymerized sol was allowed to dry for a week at 30.degree. C. The resulting **glasses** were treated as described (example C2). Trypsin activity of the trypsin-doped **sol-gel glasses** expressed as the yield of activity used for the preparation of the catalyst is presented in the following Table.
- DETD 8. Entrapment of aspartase in **sol-gel glasses**.
- DETD . . . disrupted by sonication. The homogenate was cleared by centrifugation (10,000.times.g, 30 min, 4.degree. C.) and used for the preparation of sol-gel glasses. The homogenate (0.5 ml) was mixed with NaF solution (0.2 ml) at the concentrations indicated in the Table below. Methanol. . .
- DETD 9. Preparation of protein-doped **glasses** by NaOH catalyzed polycondensation. Immobilization of alkaline phosphatase.
- DETD . . . pathological conditions, and may thus be of significant prognostic value. We have used an Anti-IL-2R monoclonal antibody, trapped in a sol-gel glass, to determine IL-2R, using a sandwich immuno assay test (cell-free Interleukin-2 Receptor CK 1020, 96 Test kit, T Cell Sciences, Inc., Cambridge, Mass., USA). Trapping of Anti-IL-2R monoclonal antibody in a "sol-gel" glass was carried out with a starting solution composed of methanol (3 mi), TMOS (2.5 ml), 6 mM phosphate buffered. . .
- CLM What is claimed is:

 1. A reactive sol-gel comprising a porous gel containing a cell-free dopant of biological origin trapped therein and formed by non-denaturing polymerization of at least one monomer of the formula M(R).sub.n (P).sub.m and selected from the group consisting of metal alkoxides, semi-metal alkoxides, metal esters and semi-metal esters, wherein M is a metallic or semi-metallic element, R is a hydrolyzable substituent, n is an integer of 2 to 6, P is a non-polymerizable substituent and m is an integer of 0 to 6, and optionally an organic monomer, under acidic, neutral or basic conditions and in the presence of a dopant, said polymerization including a gelling step. . .
 - A reactive sol-gel as defined by claim 1, wherein the porous gel is in the form of a thin film or an array.
 A reactive sol-gel as defined by claim 2, wherein the thin film is a molecular monolayer.
 - 4. A reactive **sol-gel** as defined by claim 2, wherein the thin film is a macroscopic layer.
 - 5. A reactive **sol-gel** as defined by claim 2, wherein the thin film is supported on a solid support including an optical material.
 - 6. A reactive **sol-gel** as defined by claim 1, wherein the porous gel is in the form of thin sieves.
 - 7. A reactive **sol-gel** as defined by claim 1, wherein at least two dopants are trapped in the porous gel and wherein the dopants. . .
 - 8. A reactive **sol-gel** as defined by claim 1, wherein the distribution of the dopant in the gel is nonhomogeneous and follows a predetermined. . .
 - 9. A reactive **sol-gel** as defined by claim 1, wherein the cell-free dopant of biological origin is selected from the group consisting of enzymes,. . .
- L6 ANSWER 16 OF 22 USPATFULL AN 94:20235 USPATFULL

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Doped sol-gel glasses for obtaining
ΤI
       chemical interactions
       Avnir, David, Jerusalem, Israel
TN
       Ottolenghi, Michael, Jerusalem, Israel
       Braun, Sergei, Jerusalem, Israel
       Zusman, Riyka, Jerusalem, Israel
       YISSUM, Research Development Company of the Hebrew University of
PA
       Jerusalem, Jerusalem, Israel (non-U.S. corporation)
                               19940308
       US 5292801
PΙ
                               19920831 (7)
       US 1992-937258
ΑI
       Division of Ser. No. US 1991-637873, filed on 8 Jan 1991
RLI
       IL 1990-93134
                           19900123
PRAI
יוים
       Utility
       Granted
FS
LN.CNT 592
       INCLM: 525/054.100
INCL
       INCLS: 422/055.000; 422/056.000; 422/057.000; 435/174.000; 435/175.000;
              435/176.000; 436/008.000; 436/183.000; 501/012.000; 501/032.000
              525/054.100
NCL
       NCLM:
              422/055.000; 422/056.000; 422/057.000; 435/174.000; 435/175.000;
       NCLS:
              435/176.000; 436/008.000; 436/183.000; 501/012.000; 501/032.000
IC
       ICM: C08G063-48
        ICS: B01D053-00; G01N021-00; G01N033-00
       525/54.1; 514/2; 514/21; 530/402; 530/403; 530/405; 530/408; 530/409;
 EXF
        530/811; 435/174; 435/175; 501/12; 501/32
 CAS INDEXING IS AVAILABLE FOR THIS PATENT.
        Doped sol-gel glasses for obtaining
 ΤI
        chemical interactions
       A method is proposed of obtaining a chemical interaction between at
 AΒ
        least one reagent trapped in sol-gel glass by doping
        it with the reagent, and diffusible solutes or components in an adjacent
        liquid or gas phase. The reagents, the solutes or the components can be
        any organic or inorganic compounds or materials of biological
        origin including enzymes. The doped sol-gel glass in
        various forms may be useful as analytical test, chromatographic medium,
        sensor, catalyst or biocatalyst, electrode or enzyme electrode,. .
          . . solid support and diffusible solutes or components in an
 SUMM
        adjacent liquid or gas phase, wherein the reagent is trapped in
        sol-gel glass (hereinafter also referred to as doped
        sol-gel glass) which provides the solid support to the
        reagent.
        The method according to the present invention can be applied to a
 SUMM
        variety of interactions between the doped sol gel
        glasses and reagent in an adjacent liquid or gas phase. The
        present invention can be useful in a myriad of applications:. .
        useful for detection of ions by chemical interaction between the ions in
        an aqueous phase and reagents trapped in the "sol-gel
        " glass, or vice versa, via characteristic "color test" reactions, or
        other routine detection methods. Another example is utilization of the.
        The method can be applied as well for medical diagnostic purposes e.g.
 SUMM
         for detecting inorganic ions or small organic molecules in
         blood, urine and other body liquids. Another example, according to the
         present invention, is a chemical interaction between a substrate or
         antigen in the liquid phase and an enzyme or antibody trapped in the "
         sol-gel" glass.
         For centuries, inorganic glasses have been prepared by high
  SUMM
         temperature melting methods. This has imposed a major limitation upon
         the technological application of glasses: additives were
         restricted to thermally stable inorganic materials, while precluding the
         incorporation of labile organic molecules.
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A recent major development in material science has been the preparation
SUMM
      of inorganic (silica) glasses through the low temperature "
       sol-gel" synthesis as disclosed by (Brinker,
      C. J., Scherer, G. W., Sol-Gel Science, Academic
      Press, San Diego (1990). An amorphous bond network of the glassy
      material is prepared by the room-temperature polymerization of suitable
      monomers, usually metal alkoxides, according to schemes such
      By sol-gel glass one also means the product obtained
SUMM
      by a polymerization of metal alkoxide mixtures which bear both
       hydrolyzable and nonhydrolyzable substituents.
       The low-temperature glass synthesis allows doping of inorganic
SUMM
       (silica or other) glasses, with essentially any
       organic molecule. This possibility was used for trapping of
       photoactive molecules by adding the compound to the starting mixture at
       the. . . R., J. Phys. Chem. 88, 5956 (1984)). The compound remained
       permanently trapped, i.e. non-leachable system have been obtained. These
       doped sol-gel glasses have been used as
       photoactive materials, such as:
       (d) Photochromic and phosphorescent glasses.
SUMM
      . . . such parameters as porosity, water content and degree of (cage)
SUMM
       polarity (Kaufman, V. R., Avnir, D., Structural changes Along the
       Sol-Gel-Xerogel Transitions, Langmuir 2, 717 (1986);
       Kaufman, V. R., Avnir, D., Pines-Rojanski, D., Huppert, D., Water
       Consumption During the Early Stages of the Sol-Gel
       Polymerization, J. Non-Cryst. Solids 99, 379 (1988)).
SUMM
       Sol-gel glasses demonstrate several
       technologically attractive properties:
       Surprisingly, it was found that molecules trapped in sol
SUMM
       gel glasses, may interact with diffusible solutes or
       components in an adjacent liquid or gas phase in the pore space. This
       finding opened a new wide range of applications of doped sol-
       gel glasses as solid media for chemical interactions.
       . . . least one diffusible solute or component in an adjacent liquid
SUMM
       or gas phase, wherein the reagent is trapped in the sol-
       gel glass which serves as the solid support. The reagent can be
       any organic organometallic, or inorganic compound, or any
       biological material capable of being trapped in the sol-
       gel glass.
       The diffusible solute or components can be any organic
SUMM
       compound, stable organic radical, organometallic compound, or
       inorganic compound or biological material capable to interact with the
       trapped reagents.
        . . present invention can take place between anions or cations in a
SUMM
       liquid or gas phase and reagents trapped in the sol
       gel glass or vice versa. For example the interaction may take
       place between metal ions and a specific reagent via a
       characteristic colour-test reaction, as in: (1) the determination of
       Fe.sup.+2 cation with o-phenanthrolin,. . . one of the many examples
       for a pH sensors. The analytical test can be carried out by dipping the
       doped sol gel glass in the solution and observing
       the resulting color change.
       The sol gel glass according to the present invention
SUMM
       can be in any shape suitable for the test. For example it can have.
         inert solid support. Thus, an electrochemical test according to the
       invention can be performed by preparing electrodes coated with doped
       sol gel glass layers. These electrodes may be used for
       clinical, analytical or industrial purpose, or as biosensors.
       . . . quantitative analysis of pollutants. The pollutants may be for
SUMM
       example chlorides, nitrates, phosphates, herbicides, insecticides,
       inorganic ions and pollutants of organic origin. Detection
       devices according to this invention can be utilized as part of
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continuous monitoring systems. The present invention can be utilized for extracting or separating SUMM molecular solutes from liquid solutions. The doped sol gel glasses can be used according to the present invention for all chromatographic purposes, including liquid, gas and thin layer chromatography. The extraction or separation is performed by passing the solution through columns made from appropriately doped sol gel material. The thin layer chromatography according to this invention can be performed on conventional glass plates, paper or other inert solid support coated with doped sol -gel glass layers. Medical diagnostic is another application of the present invention. For SUMM example, detection of inorganic ions, small organic molecules and other components in blood, urine and other body liquids can be made. The invention can be applied also. present invention relates, as well, to a method for preparation SUMM of bioactive materials (biocatalysts) by entrapment of enzymes in forming sol-gel glass, which, following polycondensation of suitable monomers, serves as a solid matrix, bonding the enzyme and conveying to it mechanical,. according to the present invention, can be applied to a variety SUMM of enzymes or enzyme systems, including co-immobilization of co-factors, organic and inorganic ligands, mono- and polyclonal antibodies, and their detection systems. . . . the present invention can be useful in a variety of SUMM applications, such as: (a) biochemical reactions and other bioconversions in organic and inorganic solvent solutions, (b) detection or qualitative determination of organic and inorganic molecules, which are substrates of the immobilized enzymes, or inhibitors, or modifiers of enzyme activity, (c) construction of. . . Several properties of the sol-gel glasses SUMM make them especially attractive as possible enzyme catalyst supports: (a) the ability to entrap large amounts of additives; (b) the. therefore also to a method for obtaining bioactive materials SUMM based on enzyme molecules trapped within the porous structure of a sol-gel glass. The entrapment is achieved by the addition of a cell-free enzyme to a mixture of monomer or monomers at. Unexpectedly, we have found (1) that proteins can be trapped within the SUMM matrix of a forming sol-gel, (2) that several cell-free enzymes, belonging to various classes: hydrolases, oxidoreductases, lyases and the like, can be effectively entrapped in such composite bioactive sol-gel glasses, while retaining high enzymatic activity, and (3) that strong binding forces retain the enzyme in the matrix, thus producing a. The sol-gel immobilized enzymes may be used as SUMM biosensors for hormonal tests or for any industrial purposes, including diagnostic and synthetic purposes. Said enzymes can be doped in sol gel glass layers coated on electrodes for probing any substrate. The enzymatic interaction according to the present invention can be applied also to radioactive tests and also for enzymatic column chromatography (crushed powder sol gel glasses may be used as support for enzymatic column chromatography). The sol gel glass can be applied, according to the SUMM present invention, as active specific membranes allowing selective incorporation of the trapped molecules. . The present invention relates also to the application of doped SUMM sol gel glasses according to this invention as well as for the preparation of sol gel glasses and doped sol gel glasses for such applications.

- SUMM When prepared as a thin film, the width of the **sol gel** glass may be from molecular monolayers up to macroscopic layers. The thin film can be part of a multi-layered array of thin films. The **glasses** may be supported on an electrode or optical support.
- The unique transparency of "sol gel" glasses in the range above 250 nm, makes them highly applicable to quantitative spectrophotometric and spectrofluorimetric tests. Trapping of host molecules. . . require specific synthetic methods such as those associated with covalent linking of reagents to solid supports. Moreover, inherent properties of sol gel glasses such as high surface area, the wide range of available
- pore sizes and the thin film technology, make them highly. .

 The FIGURE Drawing shows various sol-gel

 glasses with a reagent trapped therein, both before and after reaction with a component in a liquid phase.
- DETD A. Preparation of doped "sol-gel" glasses
- The polycondensation of alkoxysilanes is associated with gelation of the sol, which after drying is densified by a mild heat treatment to form a. . . final glass are determined by the chemical and physical conditions during the process of preparation. They depend upon the ratio metal (e.g. silane)/alcohol/water, the alkoxide pH, the presence of a catalyst, the temperature, the drying time and the amounts of organic additives, such as surface active agents.
- DETD 1. A standard mixture for preparation of doped "sol-gel" glasses contained TMOS (5 ml) H.sub.2 O (2.4 ml) and methanol (6. ml). The appropriate catalyst and the desired reagent were. . .
- DETD 2. An alternative technique of preparing **sol-gel glasses** is based on thin-layer coating of conventional glass

 supports. A characteristic procedure for the preparation of such thin layers began. . .
- DETD B. Representative examples of reactivity of reagents trapped in sol-gel glasses
- DETD . . . 1, arrows denote transitions from the reagent-doped glass to the same glass after immersion in the tested solution. The doped glasses represent four classes of reactions: (a) a glasstrapped organic reagent with an inorganic cation to be determined in the solution; (b) same with inorganic anions; (c) a glass doped with an inorganic ion, testing a solution containing an organic molecule (reversal of a & b); (d) glass doped with a pH indicator.
- DETD Middle: Doped **glasses** (top) and some **glasses** after immersion in solutions containing several ions:
- DETD 1. Preparation of sol-gel immobilized enzymes.
- DETD . . . samples containing PEG 400, the polymerization was completed in about 3 h. All the liquid remaining on the top of solgel was then removed by suction. In methanol-containing mixtures gelation took place in about 4-5 h. The polymerized sol was allowed. .
- DETD 2. Retention of protein by the sol-gel glass.
- DETD All the **glasses** prepared according to example C1 were ground to a size of about 60-100 mesh and packed in 2 ml-columns. The.
- DETD 3. Entrapment of trypsin in sol-gel glasses
- DETD Trypsin (E.C. 3.4.21.4, from bovine pancreas, 11,000 U/mg) was supplied by RAD Chemicals, Rehovot, Israel. Trypsin entrapped in solgel was prepared as described in example C1. Assays were performed on the washed glasses at 25.degree. C. at pH 8 using N-benzoyl-L-arginine-4-nitroanilide (3.3 mM) as the substrate. The concentration of NaF in the enzyme. . .
- DETD 4. Entrapment of acid phosphatase in **sol-gel glasses**. Acid phosphatase (E.C. 3.1.3.2, from wheat germ, 0.45
 U/mg) was purchased from Sigma. The acid phosphatase-containing

```
sol-gel glasses were prepared as described
       in the example C1. The assays were performed on the washed
       glasses at 25.degree. C. at pH 5.6 using p-nitrophenyl phosphate
       (6 mM) as the substrate. The activity yield, calculated in percents of
       enzyme activity used initially for the preparation of glasses,
       is shown in the following Table:
       5. Thermal stability of immobilized acid phosphatase in different
DETD
       sol-gel glasses.
DETD
       The acid phosphatase-containing sol-gel
       glasses (example C4) were incubated at 70.degree. C. in citrate
       buffer (pH 5.6, 0.1M) for various periods of time (up to.
DETD
       6. Entrapment of peroxidase in sol-gel
       glasses.
       . . . 200 U/mg) was obtained from Sigma. Sol-gels doped with
DETD
       peroxidase were prepared as shown in the example C1. All the
       glasses prepared with the addition of PEG 400 were active,
       although it was not possible to determine the extent of their. . . of
       the assay mixture indicated improved activity yields at higher
       concentrations of PEG 400. In contrast to trypsin and catalase,
       sol-gel glasses made at elevated
       concentrations of NaF were more active. Glasses prepared in
       methanol-containing mixtures were devoid of peroxidase activity.
       7. Entrapment of trypsin in sol-gel glasses
DETD
       . . . to reach the room temperature. The polymerized sol was allowed
DETD
       to dry for a week at 30.degree. C. The resulting glasses were
       treated as described (example C2). Trypsin activity of the trypsin-doped
       sol-gel glasses expressed as the yield of
       activity used for the preparation of the catalyst is presented in the
       following Table.
       8. Entrapment of aspartase in sol-gel
DETD
       glasses.
       . . . by sonication. The homogenate was cleared by centrifugation
DETD
       (10,000 xq, 30 min, 4.degree. C.) and used for the preparation of
       sol-gel glasses. The homogenate (0.5 ml) was
       mixed with NaF solution (0.2 ml) at the concentrations indicated in the
       Table below. Methanol. . .
       9. Preparation of protein-doped glasses by NaOH catalyzed
DETD
       polycondensation. Immobilization of alkaline phosphatase.
       . . . pathological conditions, and may thus be of significant
DETD
       prognostic value. We have used an Anti-IL-2R monoclonal antibody,
       trapped in a sol-gel glass, to determine IL- 2R,
       using a sandwich immuno assay test (cell-free Interleukin-2 Receptor CK
       1020, 96 Test kit, T Cell Sciences, Inc., Cambridge, Mass., USA).
       Trapping of Anti-IL-2R monoclonal antibody in a "sol-
       gel" glass was carried out with a starting solution composed of
       methanol (3 ml), TMOS (2.5 ml), 6 mM phosphate buffered. . .
CLM
       What is claimed is:
       1. A process for the preparation of a reactive sol-gel
       glass, comprising polymerizing at least one monomer of the formula
       M(R).sub.n (P).sub.m and selected from the group consisting of
       metal alkoxides, semi-metal alkoxides, metal
       esters and semi-metal esters, wherein M is a metallic or
       semi-metallic element, R is a hydrolyzable substituent, n is an integer
       of 1 to 6, P is a non-polymerizable substituent and m is an integer of \boldsymbol{0}
       to 6, and optionally an organic monomer, under acidic, neutral
       or basic conditions and in the presence of a dopant to form a porous
       xerogel containing. . . and a drying step conducted at not greater
       than 45.degree. C., said dopant being selected from the group consisting
       of organic compounds, stable organic radicals,
       organometallic compounds, inorganic compounds and molecules of
       biological origin, the dopant being reactive after preparation of the
```

xerogel.

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2. A process as defined by claim 1, wherein M is at least one metal selected from the group consisting of Si, Al, Ti and Pb, R is at least one substituent selected from the. . . 3. A process as defined by claim 1, wherein the sol-gel glass is formed from at least two monomers of the formula M(R).sub.n.
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4. A process as defined by claim 1, wherein dopant molecules are trapped in the sol-gel glass.

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L6
     ANSWER 17 OF 22 USPATFULL
AN
       93:107111 USPATFULL
TI
       Fast sol-gel preparation of glasses
IN
       Haruvy, Yair, Austin, TX, United States
       Webber, Stephen E., Austin, TX, United States
PΑ
       Board of Regents, The University of Texas System, Austin, TX, United
       States (U.S. corporation)
PΤ
       US 5272240
                               19931221
ΑI
       US 1991-707140
                               19910529 (7)
DT
       Utility
FS
       Granted
LN.CNT 1492
INCL
       INCLM: 528/010.000
       INCLS: 528/012.000
NCL
       NCLM: 528/010.000
       NCLS: 528/012.000
IC
       [5]
       ICM: C08G077-06
       528/10; 528/12
EXF
CAS INDEXING IS AVAILABLE FOR THIS PATENT.
TI
       Fast sol-gel preparation of glasses
AΒ
       The present invention relates to a method of preparing glasses
       from metal alkoxides. Glasses are crack-free and
       prepared rapidly in a single step. Optically clear polysiloxane
       glasses as thin films may be prepared in a matter of minutes. A
       glow discharge curing method is disclosed which rapidly. . .
       crack-free multiple-layered films may be prepared by the disclosed
      method which can be modified according to desired properties of the
       glasses.
SUMM
       The invention relates generally to sol-gel
      glasses and a chemical procedure for their preparation.
       Preferred "crack-free" glasses are polymerized from
      substituted metal alkoxides having two or three hydrolyzable
      groups. Thin films up to 100 .mu. are typically obtained in a rapid
SUMM
      Sol-gel techniques have been extensively
      investigated for more than two decades (Gottardi, 1982) and used to
      prepare glasses and ceramics for use in a wide variety of
      applications, employing various precursors, catalysts, additives and
      procedures. Sol-gel precursors most investigated
      have been prepared from siloxanes, especially tetraalkoxysilanes
      (Reisfeld, 1987). Titania, alumina (Kobayashi, 1988) and mixed
      glasses have also been investigated. Numerous chromophores have
      been incorporated into sol-gel produced glassy
      materials, laser-dyes in particular. The latter have exhibited promising
      characteristics for use in nonlinear optics (NLO), especially for laser
      systems (Reisfeld, 1989). Dye-embodying supported glass thin films have
      been prepared by sol-gel techniques, aiming at
      surface laser systems (Kobayashi, 1988), yet prolonged and complex
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processes are required to facilitate crack-free glasses of the desired properties. Tetraethoxysilane is the favored precursor for the preparations of pure SUMM silica (SiO.sub.2) glasses by the sol-gel method due to its moderate reaction rate. The water-to-siloxane molar ratios (MR) most commonly employed is 5:1 to 10:1. A co-solvent (e.g. ethanol) is regularly added to maintain a one-phase reaction solution, although it was recently demonstrated, in the sol-gel reaction of tetramethoxysilane, that the methanol produced by the hydrolysis was sufficient to maintain a single-phase at an early stage. . . . encaging large molecules (e.g. chromophores, enzymes) which SUMM have been introduced into the reaction mixture. However, during this stage of the sol-gel synthesis severe cracking and fragmentation of the formed glass are the common obstacles that impede the fabrication of articles and films. . . Many investigators have attempted to overcome this obstacle by using a wide variety of additives such as dimethylformamide (DMF), formamide, organic acids or surfactants. Even with these additives, however, an extremely slow and very cautious drying of the sol -qel glass is necessary for the survival of a fracture-free glass, making this synthetic route more of an art than a. . . The cracking problem is further aggravated where sol-SUMM gel glasses are cast onto a rigid support since the gelling matrix is no longer free to contract. Crack-free supported glass-films can. . . Although crack-free glasses from sol-gels have been prepared, SUMM the procedures are time-consuming and often complex. Of particular concern from a practical aspect is. which can be made as single or multiple layer thin films with a SUMM range of thicknesses. Thin films polymerized from metal alkoxide monomers are free of cracks and generally may be prepared in a few hours by curing at elevated temperatures.. . . glow discharge technique during the curing process. Guest molecules, including laser dyes and donor-acceptor molecules, are readily incorporated into the glasses produced by methods in accordance with the invention. The invention is generally directed to a method of rapid preparation of SUMM crack-free glasses. A suitable metal alkoxide monomer is selected, polymerized during a hydrolysis step and subsequently cured. The inventors have discovered that through use of. Suitable monomers for polymerization include metal alkoxides SUMM such as silane alkoxides, barium, yttrium, copper or aluminum alkoxides, titanium alkoxides or alkoxides selected from other metal groups as well as mixtures of the aforementioned metal alkoxides. Similarly, single metal alkoxide oligomers or mixed metal alkoxide oligomers may be used. Suitable substituted alkoxides include, for example, methyl trimethoxysilane, dimethyl dimethoxysilane, ethyl triethyloxysilane and so forth.. . resulting polymer glass will depend on te structure of the monomer. Methyl trimethoxysilane can be used to provide polymethylsiloxane (PMSO) glasses. In order to obtain glasses with desirable mechanical properties, such as rigidity, flexibility or toughness, the monomer selected preferably has two or three hydrolyzable groups.. . dimethoxy silane monomers with hydrogen in the remaining position(s), e.g., SiH(OMe).sub.3, to be used, resulting in virtually pure silicon oxide glasses. Hydrolysis of the selected monomer is preferably accomplished at an SUMM elevated temperature. Although optically clear glasses have been obtained when hydrolysis of alkoxysilanes is conducted at room temperature or temperatures up to 60.degree. C., phase separation

may frequently occur during the hydrolysis stage.. .

```
. . . from monomers such as alkoxy substituted silanes by processes
SUMM
       similar to the aforementioned methods have traditionally been referred
       to as sol-gel glasses or sol-gels. In
       fact, the formation of polymers described in the present invention are
       formed mainly by polymerization rather than a sol aggregation. It is
       therefore understood that references to sol-gel
       methods or to sol-gels are not intended as a limitation on the
       characterization of the glasses or thin films prepared by the
       aforementioned methods.
       . . . while it crosslinks. There is a significant decrease in volume
SUMM
       and dimensions taking place during the drying stage of the sol
       -gel derived glass resulting in extensive fractures. This
       problem is overcome by minimizing the volume of the reactants,
       illustrated for example.
SUMM
       . . . the base protonation reaction is reversed. The volatile amine
       is expelled from the reaction, thus slowing the reaction rate.
       Polymethylsiloxane glasses incorporating Pyridin-1 laser dyes
       prepared using dimethylamine catalysis which were cast onto supports and
       dried similarly to those prepared by. . . as those found with acid
       catalysis. Thus both types of catalysis, acid and base, may be used to
       prepare crack-free glasses and in some cases either type of
       catalyst may be used.
      One hydrolysis product of metal alkoxide monomers is an
SUMM
       alcohol. When trimethoxysilane monomers are used this product is
       methanol, whereas if ethoxy substituents are present. . .
       Curing of a hydrolyzed/polymerized metal alkoxide monomer may
SUMM
       be accomplished in situ or subsequent to a forming process such as
       casting. Thus in a further. . . cast onto substrates. Such substrates
       may include rigid or flexible surfaces such as glass, metals, or
       flexible or inflexible polymers. Sol-gel
       glasses formed on rigid supports are apt to cause problems due
       to the formation of cracks during the curing process as. . .
       . . . surfactant at relatively high concentrations, for example up to
SUMM
       3% in the final glass. However, surfactant embodied in the final
       glasses may decompose when employed for high energy density
       applications, for example for solid state lasers, adversely affecting
       performance. However, the inventors have discovered that the new method
       of preparing metal alkoxide polymers provide solutions
       particularly amenable to spin-casting without addition of additives such
       as surfactants, DMF or similar drying control. . .
       . . . cracks as well as propagation of existing ones, thus allowing a
SUMM
       healing process to occur. Additionally, by carrying out the sol
       -gel reaction and the spin-casting process under inert
       atmosphere such as nitrogen, argon, or other inert gases, most of the
       early. . . not necessary with ethoxy substituted silane monomers. Yet
       by using all three to prepare films using trimethoxysilane monomer,
       crack-free polysiloxane glasses may be obtained after curing
       for only a few hours at ambient temperature under an inert atmosphere.
       It will be appreciated that the water to monomer ratio, that is the MR
SUMM
       ratios, in polymers used to prepare glasses may be optimized
       or tuned to allow fast polymerization while minimizing crosslinking. By
       optimization or tuning is meant adaptations of.
SUMM
       A further aspect of the invention includes glasses, thin
       films, and multiple-layered films or glasses prepared by the
       aforedescribed methods.
SUMM
       . . . of polyimides. Curing at room temperature has been accomplished
       in short periods, often as short as 10-20 min for polymethylsiloxane
       glasses and as short as 60 min for polyamic acid resins. Thin
       films up to 100 micrometers have been rapidly cured.
SUMM
       Glow-induced sol-gel curing may result in the
       formation of a hydrophilic surface on the polymer film. Without
```

application of glow discharge, polymer. . .

Additionally, multilayered assemblies of hydrophilic solgel films may be prepared by glow discharge curing. Hydrophilic
gel surfaces will stick together better than hydrophobic gel surfaces
and. . .

SUMM Curing of the hydrolyzed/polymerized **metal** alkoxide monomer may be carried out by allowing the polymerized solution to "air-cure" by standing at ambient or elevated temperature. . .

SUMM

. . . as Rhodamine-6G, Pyridin-1, or Coumarin-153 Where high concentrations of guest molecules within the matrix are desired certain modifications of the sol-gel polymerization process have been found desirable. Thus it is preferred to slow evaporation of the alcohol, methanol for example arising from methoxyl group hydrolysis, in the sol-gel until sufficient molecular weight and viscosity have been obtained. This may be conveniently carried out by conducting the first 5. . . methods of slowing down or counteracting fast evaporation of methanol from the polymerization solution include adding less volatile solvents, miscible organic solvents such as ethanol, higher alcohols, acetonitrile, or the like, or water immiscible organic solvents such as toluene.

Films prepared b the aforedescribed methods, particularly polymethylsiloxane and polysiloxane films, are useful as optically clear glasses or may be used as waveguides, particularly multilayered assemblies, for example, by incorporating laser dyes into the monomer solutions used. . .

DRWD FIG. 2 shows a typical set-up for hydrolyzing and polymerizing metal alkoxy monomers used to prepare glasses and thin films. 1 is a water bath at constant temperature; 2 are hollow beads; 3 is a styrofoam bar;. . .

DRWD FIG. 3 is a FTIR spectrum of sol-gel (MTMS) prepared polymethylsiloxane thin-film on an aluminum support.

The present invention is a rapid, single-step method of preparing what are commonly known as sol-gel glasses, supported films in particular. The invention is illustrated in detail with preparation of glasses prepared from alkoxysilane and alkylalkoxysilane monomers and indicates variations which allow flexibility in achieving desired polymer properties, depending on the application. Especially desirable are fracture or crack-free

glasses that are rapidly prepared, some in a matter of minutes.

Although the method may be varied, the inventors have shown that preparation of crack-free glasses from methyl alkoxysilane monomers depends on the maintenance of a single phase during the hydrolysis/polymerization process. Thus the practitioner will.

DETD . . . when the monomer is mixed with water that certain precautions are taken to assure even polymerization and subsequent grainless, crack-free glasses after drying. Trimethylsilane is mixed with water at a MR of about 0.95 under an inert atmosphere such as nitrogen,.

Glasses are generally formed after hydrolysis/polymerization followed by a curing process. Curing entails several reactions, usually some hydrolysis, further polymerization and formation of crosslinks. For many siloxane glasses, particularly films, formed from alkoxy or alkylalkoxy monomers, curing at room temperature or elevated temperatures will provide satisfactory crack-free films....

DETD . . . rapid curing by glow discharge. Siloxane films prepared by spin-casting trimethylsilane monomer are optically clear and virtually identical to SiO.sub.2 glasses after curing. While glow discharge may not be desirable for acceleration of curing in these films, it could be used. . .

DETD Donor-acceptor molecules incorporated in the **sol-gel** matrices were p-nitroaniline (PNA), and 4,4'-diamino-diphenyl sulfone (DDS) from Aldrich (AR) and 4,4'-dimethylamino nitrostilbene (DANS) from

```
Preparation of the Support Glasses
DETD
      Multilayered Polymethylsiloxane Glasses
DETD
       . . . several layers of PMSO on a support according to the procedure
DETD
       of Example I resulted in a multilayered assembly. The sol-
       gel and drying processes of a single-layer was applied in a
       straight-forward manner to the preparation of a multilayered assembly
       (1".times.1"). . .
                                         TABLE 1
DETD
HTMS Fast Sol-Gel Experiments. a. Ambient Atmosphere
H.sub.2 O/HTMS.sup.(a)
No.
   wt/wt
       mol/mol
            HCl (M).sup.(b)
                  Temp. (deg C.)
                          Results and Observations
1 0.22
       1.5 10.sup.-2
                  70
                          Gelling. .
                                         TABLE 2
DETD
HTMS Fast Sol-Gel Experiments. b. Inert Atmosphere
H.sub.2 O/HTMS.sup.(a)
No.
   wt/wt
       mol/mol
            Atm. S C.sup.(f)
                  Temp. (deg C.)
                          Results and Observations
13 "
       0.95 N.sub.2 ;. . .
                     TABLE 3
DETD
Cracking Duration of Sol-Gel Glasses Prepared
from TMOS-DMDMS Mixtures.sup.(a)
TMOS
         DMDMS
                     No. -- OMe Time.sup.(b) before
(mol. %) (mol. %)
                     (average) cracking (h)
100
          0
                     4.0
                               <1
75
         25
                     3.5
                               12
67.
         . . indicated that minimization of the volume of the reactants and
DETD
       the cast sol leads to elimination of cracking in the glasses
       produced.
DETD
                     TABLE 4
Calculated Contraction for SiO.sub.2 Glass Prepared
by the sol-Gel Technique
             SiO.sub.2 Weight
                        Volume.sup.(a)
                                   Longitudinal
Reactants
             Fraction
                        Contraction
                                   Contraction
One-phase
Si(OEt).sub.4 /H.sub.2 O/MeOH
             0.13
                       7.6
                                   2.0
1:5:5 (m/m)
Two-phase
```

Kodak.

```
Si(OEt).sub.4 /H.sub.2 O
                                  1.7
                      5.0
             0.20
1:5 (m/m)
Si(OMe).sub.4. .
      Less detachment occurred in glasses cast on base-washed
DETD
       supports compared to acid-washed supports. The results may be explained
       by increased adherence of the film to.
DETD
  Sol-Gel Experiments and Observations Under Acid
       Catalysts.sup.(a)
REACTION CONDITIONS
                       OBSERVATIONS
Monomer
       Water Ratio (m/m)
                 Temp (.degree.C.)
                       Ph.M.sup.(b) min
                              Cracking (hours).sup.(c)
                                        Clarity
                                            Remarks
Si(OEt).sub.4
       5.7.
       Results indicated that methyltrimethoxysilane monomer could be used at
DETD
       MR as low as 1:1, in contrast to sol-gel reactions
       of TEOS. Phase-merging of the reactants was typically observed within a
       few seconds even at room temperature and the. . .
       As shown in Table 5, the MTMS sol-gel reactions were
DETD
       carried out at a temperature range of 25.degree.-60.degree. C. At the
       higher temperatures, more vigorous hydrolysis was observed and gelation
       occurred more quickly. A short time after casting, all the
       glasses developed a milky opaque surface. By elevating the
        temperature to nearly 80.degree. C. during hydrolysis and
        polymerization, more even hydrolysis. . .
        Embodiment of guest molecules in the sol-gel matrix
 DETD
       was accomplished by incorporating guest molecules (e.g. laser dye) in
        the reaction mixture and proceeding according the regular fast
        sol-gel procedure of Example 1. Generally, this
        resulted in dye-embodying glass-films, usually with a marginal effect on
        the fast-sol-gel process itself.
        . . . these laser dyes, as well as embodied laser dyes of larger
 DETD
        molecular weight (>600) were attained with the same fast sol-
        gel synthesis by increased acid concentration.
        Embodying of discrete Pyridin-1 species was attained at dye loading of
        0.5-1 mg/g monomer. Loadings smaller. . .
        Donor-acceptor type molecules such as PNA and DDS are embodied in the
 DETD
        PMSO glasses by the same fast sol-gel
        process of Example 1 as laser dyes. Higher loadings (10-15%) of these
        chromophores were prepared with the same fast sol-gel
        synthesis and again, an increased concentration of acid was
        required to retain the fast rate and the good optical quality of. . .
        Metal ions (e.g. Cu, Ti, Ce, A) were easily incorporated into
 DETD
        the fast sol-gel recipe. However, most metal
        ions tended to induce aggregation of PMSO particles, resulting in a
        grainy appearance. Therefore, their incorporation (as aqueous solution
        of their salt, at the typical loading of 2 mg/g MTMS) was preferably
        done when the sol-gel process was almost completed,
        and additional polymerization was allowed for a few seconds only. The
        spin-casting was immediately carried out before in-vial gelation or
        aggregation could take place. The resulting glass had the typical
        coloration and absorbance of the metal salt as well as the
        optical clarity of the PMSO glass.
        High concentrations of donor-acceptor molecules were difficult to embody
```

DETD

in a **sol-gel** glass matrix because these compounds generally exhibited little miscibility in the siloxane monomer due to their polar nature. This problem. . .

DETD . . . to keep the solution precipitate-free until sufficient molecular weight and viscosity had been attained, sufficient methanol was kept in the sol-gel until the casting stage.

This was accomplished by carrying out the first five min of polymerization in a sealed vial, . . .

DETD TABLE 6

Absorbance and Fluorescence Maxima of Rh6G Laser Dye in Sol-Gel Glasses and in Solution

ABSORBANCE FLUORESCENCE

MEDIUM MAXIMUM MAXIMUM

MEDIUM	MAXIMUM	MAXIMUM				
Ethanol	530	580-600				
Silica glass	(SiO.sub.2)					
	525	572				
Polymethylsiloxane						
	532	557				
(PMSO)						
Polyhydrogensiloxane						
	524	558				
(PHSO)						
Polyhydrogensiloxane						
	524	564				
following oxidation						

Inclusion of NLO molecules which carry amino-groups and substituted amino-groups, pyridine groups, etc. in sol-gel glass prepared by acid catalysis may face some difficulties if the guest molecules undergo some protonation. Among the laser dyes, Pyridin-1 is an example which requires additional measures if it is to be embodied in the sol-gel glass at high concentrations. It was desirable, therefore, to modify the new fast synthetic route and adapt it for basic. . .

DETD . . . at the interface of the phases with subsequent undergo rapid condensation and precipitation. Therefore, basic catalysts exhibiting higher miscibility in **organic** media were tested.

DETD Upon catalysis with dimethylamine (pK.sub.b .about.3.3 10.sup.-3 at 25.degree. C., fast and facile sol-gel reactions was maintained using concentrations between 10.sup.-2 to 4.4M. The higher compatibility of the organic base with both phases thus assists in their merging. In this respect, the organic base acted as a micro-surfactant. Further, this catalyst was volatile and upon consumption of most of the water, the base-protonation. . .

DETD . . . was expelled from the gel, thus slowing down the condensation. The later self-regulation phenomenon is of special importance for the sol-gel curing process: the more crosslinked the glass became, the more time was needed for stress relaxation processes. This relaxation period was extended by the catalyst evaporation and the consequent decrease of the condensation rate. Hence, glasses formed following casting onto a support behaved similarly to those prepared by the acid catalysis. All dyes investigated could be embodied in the sol-gel glass. Residual amines in the glass quenched the fluorescence of the glass-embodied dyes and therefore had to be removed from. . .

DETD . . . are comparable to data in the literature. In Table 7 the absorbance and fluorescence maxima in solution and in PMSO glasses prepared under acid and base catalysis are compared for the four laser dyes studied. The absorbance maxima in the glass.

DETD TABLE 7

```
Maxima of Laser Dyes in Sol-Gel Glass and in Solution
                  ABSORBANCE
                                FLUORESCENCE
                  MAXIMUM.sup.(c)
DYE
        MEDIUM
                                MAXIMUM.sup.(c,d)
Rhodamine
                                     581.sup.(e)
        Ethanol
                   530
                                          (308)
6G
        PMSO.sup.(a)
                                     557
                                          (308)
                   532
        PMSO.sup.(b)
                                     525. . .
                   536
       Multilayered Polymethylsiloxane Glasses
DETD
       . . . several layers of PMSO on a support according to the procedure
DETD
       of Example 1 resulted in a multilayered assembly. The sol-
       gel and drying processes of a single-layer was applied in a
       straight-forward manner to the preparation of a multilayered assembly
       Electric Field Curing of Sol-Gel Films
DETD
       Sol-gel films were prepared as described in Example
DETD
       1 using methyltrimethoxysilane monomer except that accelerated curing
       was induced by application of.
                                           TABLE 8
DETD
Curing Time and Surface Properties of Glow Discharged Sol-Gel
       Films
H.sub.2 O/MTMS
        Voltage-Current-Time Glow Discharge
                             Curing Time
                                    Contract Angle
Molar Ratio.sup.(a)
         Curing Sequence (kV-.mu.A-min).sup.(b)
                             (min) (aver:deg)
         10-12-2; 12-12.5-2; 13-15-2; 14-18-2;
2.25
                             <20. . .
DETD
                                            TABLE 7
Typical Sol-Gel PMSO Recipes Loaded With
       Amino-Chromophores.sup.(a)
             Water/PMSO
                     Add. Solvent
                             Add. 1M HCl
Chromophore
        Loading
             Molar Ratio.sup.(b)
                      (% w/w)
                             (8 W/W)
                                    Remarks
PNA
        1. Proc. Int. Workshop, "Glasses and Glass Ceramics from Gels", Gottardi, V., Ed., J. Non. Cryst. Solids, vol. 48, 1982.
DETD
        2. "Sol-Gel Technology for Thin films, Fibers,
DETD
        Preforms, electronics and Specialty Shapes", Klein, L. C., Ed., 1988,
        Noyes Publ., Park Ridge, N.J.
        What is claimed is:
CLM
        4. The method of claim 1, 2, or 3 wherein the metal in the
        monomer is silicon, titanium, aluminum, barium, copper or yttrium.
```

Comparison of Absorbance and Fluorescence

- 11. The method of claim 1 wherein the ${\tt metal}$ alkoxide monomer is a trialkoxy-substituted.
- 12. The method of claim 1 wherein the **metal** alkoxide monomer is methyltrimethoxy-substituted.
- 13. The method of claim 1 wherein the ${\it metal}$ alkoxide monomer is dimethyldimethoxy-substituted.

=>

FILE 'CAPLUS, BABS, CBNB, CEN, CIN, DKILIT, IFIPAT, JICST-EPLUS, PASCAL, PLASNEWS, PROMT, RAPRA, SCISEARCH, TEXTILETECH, USPATFULL, USPAT2, WPIDS, WTEXTILES' ENTERED AT 16:52:11 ON 28 JUL 2002

L1	76425	S	SOL(W)GEL
L2	6631	S	L1 AND GLASSES
L3	1062	S	L2 AND SYNTHESIS
L4	26	S	L3 AND ALKOXYSILANES
L5	23	S	L4 AND METAL
L6	22	S	L5 AND ORGANIC